

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Erland SORENSEN, et al.

SERIAL NO: 09/508,684

GAU: 2834

FILED: May 31, 2000

EXAMINER: WAKS, J.

FOR: ROTATING ELECTRIC MACHINE

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- The applicant(s) wish to make of record pending application Serial No. 09/541,523, and copies of the references cited by the Examiner in an Office Action in application Serial No. 09/541,523. These references are believed to be relevant to the present case as well as related "bulk filing applications" cases, as discussed in Paper No. 11 Response to Petition under 37 CFR 1.182 Seeking Special Treatment Relating to an Electronic Search Tool, and Decision on Petition under 37 CFR 1.183 Seeking Waiver of Requirements under 37 CFR 1.98 (i.e., the "Response to Petition"). Three copies of each of the listed references were provided in "holding application" Serial No. 09/147,325 filed February 17, 1999 to the Patent Office consistent with the requirements in the Response to Petition.
- A check is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



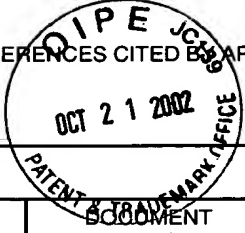
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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. <b>9847-0035-6XPCT</b>		SERIAL NO. <b>09/508,684</b>	
LIST OF REFERENCES CITED BY APPLICANT  <div style="text-align: center;">  </div>				APPLICANT <b>Erland SORENSEN, et al.</b>			
				FILING DATE <b>May 31, 2000</b>		GROUP <b>2834</b>	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	3,820,048	6/74	Ohta et al			
	AB	4,326,181	4/82	Allen			
	AC	4,552,990	11/85	Persson et al			
	AD	4,663,603	5/87	van Riemsdijk et al			
	AE	5,175,396	12/92	Emery et al			
	AE	5,239,146	3/94	Aosaki et al			
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW						
	AX						
	AY						
	AZ						
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



LIST OF RELATED CASES

DOCKET NO.

SERIAL NO.

STATUS

PER CLIENT

09/541,523

PENDING